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TRANSMITTAL FORM			Application Number	on Number 10/541,097		
			Filing Date	June 10, 2004		
			First Named Inventor	Carl R. V	Carl R. VanderSchuit	
(to be used for all correspondence after initial filing)		al filing)	Art Unit	Unkown	Unkown	
			Examiner Name	Unknown	Unknown	
Total Number of Pages in This Submission			Attorney Docket Number	9053V-00	9053V-000004/US/NPB	
ENCLOSURES (check all that apply)						
Fee Transmittal Form		Drawing(s (1 sheet)		After Allowance Communication to Technology Center (TC)		
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Proprietary Information		
Affidavits/declaration(s)		Declaration and Power of Attorney		Status Letter		
Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund CD, Number of CD(s)		1 IDS Document Return Postcard		
Supplemental Information Disclosure Statement		, <u> </u>				
Certified Copy of Priority Document(s)		Remarks				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or H Individual name	Harness, Dickey & Pierce, P.L.0		Attorney Name Anthony G. Fussner		eg. No. 7,582	
Signature						
Date Ja	January 30, 2006					
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Typed or printed name Anthony G. Fu		ussner		Express Mail Label No.	EV 639015489 US	
Signature				Date	January 30, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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HD&P Docket No. 9053V-000004/US/NPB

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Carl R. VanderSchuit

Serial No.:

10/541,097

Filed:

June 28, 2005

Art Unit:

Unknown

Examiner:

Unknown

For:

LIGHTING DEVICE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In order to comply with 37 CFR 1.97 and 1.98, Applicant has attached for the Examiner's information a copy of the International Preliminary Report on Patentability for PCT/2004/018799 dated January 3, 2006. The patents cited in the attached report have already been made of record by the IDS and Form 1449 filed June 28, 2005.

Applicant submits the present Information Disclosure Statement in compliance with the duty to disclose information material to patentability under 37 CFR 1.56, but by listing such documents Applicant is not admitting that such documents are necessarily relevant or prior art. Applicant intends no representation that the listed documents represent the results of a complete search. Applicant anticipates that the Examiner, in the normal course of examination, will make an independent search to determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of such search, will review for relevance every document listed on the attached Form even if not initialed.

Applicant earnestly solicits early and favorable consideration. If the Examiner

should have any questions with regard to this filing, he or she should contact the undersigned attorney at (314) 726-7500.

This IDS is being filed under 37 C.F.R. § 1.97(b) before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required.

In the event that a first Office Action on the merits has been issued, please charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: January 30, 2006

Anthony G. Fussner

Reg. No. 47,582

Attorneys for Applicant